

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO EO US)
CONCERNING A FILING UNDER 35 U.S.C. 371

65243

09/743545

INTERNATIONAL APPLICATION NO.
PCT/EP99/05409

INTERNATIONAL FILING DATE
28 July 1999 (28.07.99)

PRIORITY DATE CLAIMED
31 July 1998 (31.07.98)

TITLE OF INVENTION
DEVICE AND METHOD FOR THE VACUUM PLASMA PROCESSING OF OBJECTS

APPLICANT(S) OF DO EO US
JUNG, Thomas

Applicant(s) herewith submits to the United States Designated/Elected Office (DO EO US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(d)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☒ has been transmitted by the International Bureau
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT ISA 210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired
 - d. ☐ have not been made and will not be made
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT IPEA 409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
17. ☒ A substitute specification
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information

Marked up version of the PCT Application with the amendments made as described in the Preliminary Amendment
Clean copy of the translated PCT Application incorporating all noted amendments as described in the Preliminary amendment.

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the attached documents were deposited with the United States Postal Service "Express Mail Post Office to Addressee" Express Mailing Label No. E1 660399525US under 37 CFR 1.10 on January 10, 2001 and addressed to BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20234

Signature
Jacqueline Walton

09/74354

PCT/EP99/05409

65243-001

21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

- ☒ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1,000.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$860.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$1,000.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 - 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	19 - 20	0	X \$18.00	\$0.00
Independent claims	2 - 3	0	X \$80.00	\$0.00
Multiple Dependent Claims (check if applicable)				\$0.00

TOTAL OF ABOVE CALCULATIONS =**\$1,000.00**

Reduction of 1/2 for filing by small entity, if applicable - Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) ☐

\$0.00**SUBTOTAL =****\$1,000.00**

Processing fee of **\$130.00** for furnishing the English translation later than 20 - 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00**TOTAL NATIONAL FEE =****\$1,000.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) ☒

\$0.00**TOTAL FEES ENCLOSED =****\$1,000.00**

Amount to be:
refunded \$
charged \$

☒ A check in the amount of **\$1,000.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **08-2789** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Harold W. Milton
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Telephone: 248-645-1483
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SIGNATURE

Harold W. Milton

NAME

22,180

REGISTRATION NUMBER

10 JANUARY 2001

DATE